

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Wang et al.

Appln. No.: 09/960,232

Filed : September 20, 2001

For : WEB ENABLED RECOGNITION  
ARCHITECTURE

Docket No.: M61.12-0389

Group Art Unit: 2153

Examiner:

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Commissioner for Patents  
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Sir:

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An information disclosure statement is being filed by the applicant within any one of the following time periods:

1. x 1. Within three months of the filing date of a national application other than a Continued Prosecution Application (CPA);
2. Within three months of the date of entry of the National Stage international application;
3. Before the mailing date of a first Office Action on the merits, or
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Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Steven M. Koehler, Reg. No. 36,188  
900 Second Avenue South, Suite 1400  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

SMK:dkm

FORM PTO-1449	Atty. Docket No.: M61.12-0389	Appl. No.: 09/960,232
 <p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p>	First Named Inventor:	
	Scuderi	
	Filing Date	Group Art:
	September 20, 2001	2153

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,303,327	4/1994	Sturner et al.	704	270	
AB	5,559,897	9/1996	Brown et al.	382	186	
AC	5,566,272	10/1996	Brems et al.	704	231	
AD	5,664,061	9/1997	Andreshak et al.	704	275	
AE	5,566,272	10/1996	Brems et al.	704	231	
AF	5,664,061	9/1997	Andreshak et al.	704	275	
AG	5,737,489	4/1998	Chou et al.	704	256	
AH	5,819,220	10/1998	Sarukkai et al.	704	243	
AI	5,890,123	3/1999	Brown et al.	704	270.1	
AJ	5,960,399	9/1999	Barclay et al.	704	270.1	
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AL	6,101,472	8/2000	Giangarra et al.	704	275	
AM	6,192,338	2/2001	Haszto et al.	704	257	
AN	6,240,391	5/2001	Ball et al.	704	270	

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AO	EP0854418A2	1/1998				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AP	6,269,336	7/2001	Ladd et al.	704	270	
AQ	6,366,886	4/2002	Dragosh et al.	704	270.1	
AR	6,384,829	5/2002	Prevost et al.	345	473	
AS	6,385,583	5/2002	Ladd et al.	704	270	
AT	6,405,170	6/2002	Phillips et al.	704	270	
AV	6,456,974	9/2002	Baker et al.	704	270.1	
AW	6,463,413	10/2002	Applebaum et al.	704	256.2	
AX	6,564,263	5/2003	Bergman et al.	709	231	

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AY	VoiceXML Forum, Version 1.00, March 7, 2000, pages 1-101
AZ	SpeechObjects Specification V1.0, W3C Note, November 2000
BA	McTear, Michael F., "Spoken Dialogue Technology: Enabling the Conversational User Interface", ACM Computing Surveys (CSUR), March 2002, Vol. 34, Issue 1, pp. 90-169
BB	Capra, Robert, et al. "Posters & Demos: WebContext: Remote Access to Shared Context", Proceedings of the 2001 Workshop on Perceptive User Interfaces, November 2001, pp. 1-9.
BC	Lucas, Bruce, "VoiceXML for Web-based Distributed Conversational Applications", Communications of the ACM, Vol. 43, Issue 9, September 2000, pp. 53-57
BD	Hemphill C.T. and Thrift P.R.: "Surfing the WEB by Voice" Proceedings ACM Multimedia, November 1995 (1995-11), pages 215-222.
BE	Using the HTML Converter, Sun Microsystems, June 8, 2001
BF	Mit et al., "Grammar Representation Requirements for Voice Markup Languages", W3C Working Draft, Dec. 23, 1999.

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
BG	6,570,078	5/2003	Ludwig, Lester Frank	84	600	
BH	6,604,075	8/2003	Brown et al.	704	270.1	
BI	6,662,163	12/2003	Albayrak et al.	704	275	
BJ	6,689,947	2/2004	Ludwig, Lester Frank	84	721	
BK	2002/0031756	3/2002	Holtz et al.	434	362	
BL	2002/0077823	6/2002	Fox et al.	704	260	
BM	2002/0091518	7/2002	Baruch et al.	704	231	
BN	2002/0173961	11/2002	Guerra, Lisa M.	704	258	
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BP	2003/0125944	7/2003	Wohlsen et al.	704	246	
BQ	2003/0200080	10/2003	Galanes et al.	704	200	
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BT	6,785,649	8/2004	Hoory et al.	704	235	

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